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# NOTICE OF ALLOWANCE AND FEE(S) DUE

29863

7590

05/27/2008

DELAND LAW OFFICE P.O. BOX 69 KLAMATH RIVER, CA 96050-0069 EXAMINER

FENSTERMACHER, DAVID MORGAN

ART UNIT

PAPER NUMBER

3682

DATE MAILED: 05/27/2008

| - | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| • | 10/708,325      | 02/24/2004  | Masahiko Fukuda      | SIC-04-006          | 2324             |

TITLE OF INVENTION: GEAR REDUCTION APPARATUS FOR A BICYCLE COMPONENT

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 08/27/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correct maintenance fee notifica   | ed below or directed oth  | ng the Patent, advance on<br>nerwise in Block 1, by (a   | a) specifying a new corre  | espondence address; an  | d/or (b) indicating a sepa   | correspondence address as<br>rate "FEE ADDRESS" for   |  |
|--|---|--|--|---|--|---|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)   |   |  | Fee<br>paj   | e(s) Transmittal. This c<br>pers. Each additional pa  | ertificate cannot be used fo   | r domestic mailings of the or any other accompanying nt or formal drawing, must   |  |
| 29863  | 7590 05/27  | 7/2008   |  |   | cate of Mailing or Transı  | nission   |  |
| DELAND LAW OFFICE<br>P.O. BOX 69<br>KLAMATH RIVER, CA 96050-0069   |   |  | I h<br>Sta<br>ado<br>tra   | ereby certify that this I<br>tes Postal Service with<br>dressed to the Mail St<br>nsmitted to the USPTO   | Tee(s) Transmittal is being sufficient postage for firs op 1SSUE FEE address (571) 273-2885, on the date                                       | deposited with the United<br>t class mail in an envelope<br>above, or being facsimile<br>tte indicated below.                                     |  |
|  |   |  |  |   |  | (Depositor's name)  |  |
|  |   |  |  |   |  | (Signature)   |  |
|  |   |  |  |   |  | (Date)  |  |
| APPLICATION NO.  | FILING DATE   |  | FIRST NAMED INVENTO  | R A   | TORNEY DOCKET NO.  | CONFIRMATION NO.  |  |
| 10/708,325   | 02/24/2004  |  | Masahiko Fukuda  | •   | SIC-04-006   | 2324  |  |
| TITLE OF INVENTION   | I: GEAR REDUCTION A   | APPARATUS FOR A BI   | CYCLE COMPONENT  |   |  |   |  |
| APPLN, TYPE  | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSUE F  | EE TOTAL FEE(S) DUE  | DATE DUE  |  |
| nonprovisional   | NO  | \$1440   | \$300  | \$0   | \$1740   | 08/27/2008  |  |
| EXAM   | IINER   | ART UNIT   | CLASS-SUBCLASS   | 7   |  |   |  |
| FENSTERMACHER  | R, DAVID MORGAN   | 3682   | 074-413000   | J   |  |   |  |
| <ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol> |   |  | (1) the names of up to agents OR, alternated (2) the name of a single registered attorney or 2 registered patent att   | 2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. |  |   |  |
| PLEASE NOTE: Un<br>recordation as set fort<br>(A) NAME OF ASSI   | less an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE   | ified below, no assignee<br>pletion of this form is NO   | T a substitute for filing ar  (B) RESIDENCE: (CIT  | patent. If an assignee<br>a assignment.<br>Y and STATE OR COU   | INTRY)   | ocument has been filed for  |  |
| Please check the appropr   | riate assignee category or  | categories (will not be pr   | rinted on the patent):   | Individual  Corpe   | oration or other private gro   | up entity Government  |  |
| 4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies  |   |  | #b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |   |  |   |  |
|  | ns SMALL ENTITY statu   | us. See 37 CFR 1.27.   |  |   | ENTITY status. See 37 CF   |   |  |
| NOTE: The Issue Fee an interest as shown by the  | d Publication Fee (if req<br>records of the United Sta  | uired) will not be accepte<br>ites Patent and Trademark  | ed from anyone other than<br>c Office.   | the applicant; a register   | ed attorney or agent; or th  | e assignee or other party in  |  |
| Authorized Signature   |   |  |  | Date  |  |   |  |
| Typed or printed name  |   |  | <u>-</u>   |   |  |   |  |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223  | tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to th<br>O NOT SEND FEES OR | on is required to obtain or<br>1.14. This collection is e<br>or depending upon the indi<br>the Chief Information Offic<br>COMPLETED FORMS T  | retain a benefit by the<br>stimated to take 12 min<br>vidual case. Any commer, U.S. Patent and Tra<br>TO THIS ADDRESS. S  | oublic which is to file (and<br>utes to complete, includin<br>nents on the amount of tin<br>demark Office, U.S. Depa<br>END TO: Commissioner f | by the USPTO to process)<br>g gathering, preparing, and<br>he you require to complete<br>attment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |  |

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| APPLICATION NO.                             | FILING DATE    | FIRST NAMED INVENTOR        | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|---|----------------|-----------------------------|-------------------------|------------------|--|
| 10/708,325                                  | 02/24/2004     | Masahiko Fukuda             | S1C-04-006              | 2324             |  |
| 29863 75                                    | 590 05/27/2008 |                             | EXAM                    | IINER            |  |
| DELAND LAW                                  | OFFICE         | FENSTERMACHER, DAVID MORGAN |                         |                  |  |
| P.O. BOX 69<br>KLAMATH RIVER, CA 96050-0069 |                |                             | ART UNIT                | PAPER NUMBER     |  |
|   |                |                             | 3682                    |                  |  |
|   |                |                             | DATE MAILED: 05/27/2008 |                  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 677 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 677 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.   | Applicant(s)  |  |  |  |
|--|---|---|--|--|--|
|  | 10/708,325  | FUKUDA, MASAHIKO  |  |  |  |
| Notice of Allowability   | Examiner  | Art Unit  |  |  |  |
|  | David M. Fenstermacher  | 3682  |  |  |  |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313   | ears on the cover sheet with the c<br>(OR REMAINS) CLOSED in this ap<br>or other appropriate communication<br>GHTS. This application is subject t | orrespondence address plication. If not included n will be mailed in due course. THIS |  |  |  |
| 1. This communication is responsive to <u>RCE of 4/7/08</u> .  |   |   |  |  |  |
| 2. The allowed claim(s) is/are <u>1-21</u> .   |   |   |  |  |  |
| <ol> <li>Acknowledgment is made of a claim for foreign priority una</li></ol>  | been received.  been received in Application No cuments have been received in this  of this communication to file a reply                         | national stage application from the   |  |  |  |
| <ul> <li>4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.</li> <li>5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted. <ul> <li>(a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached</li> <li>1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).</li> </ul> </li> <li>6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.</li> </ul> |   |   |  |  |  |
| Attachment(s)  1. Notice of References Cited (PTO-892)  2. Notice of Draftperson's Patent Drawing Review (PTO-948)  3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. Examiner's Comment Regarding Requirement for Deposit of Biological Material  | 5. Notice of Informal F 6. Interview Summary Paper No./Mail Da 7. Examiner's Amendo 8. Examiner's Statemo   | (PTO-413),<br>te  |  |  |  |

### **REASONS FOR ALLOWANCE**

1. The following is an examiner's statement of reasons for allowance: The claims are still deemed allowable in view of the IDS filed 4/7/08.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David M. Fenstermacher whose telephone number is 571-272-7102. The examiner can normally be reached on 10:30-7:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richard Ridley can be reached on 571-272-6917. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/708,325

Art Unit: 3682

David M. Fenstermacher Primary Examiner Art Unit 3682 Page 3

/David M. Fenstermacher/ Primary Examiner, Art Unit 3682